

SESSION AGENDA/OUTLINE

Session: D&O Liability Insurance
Day/Date: Tuesday, May 5, 2020
Time: 9:15 am – 10:15 am (60 minutes)

Your Session Description. Login to www.RIMS.org/SSC to find your session information.

This session will xxxxxxxxxxxx

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| 9:15-9:20 | Introduction of Speakers |
| 25 minutes
9:20 - 9:45
Sarah Princeton
(Title & Company) | <ul style="list-style-type: none">I. Recent history of D & O liability exposure<ul style="list-style-type: none">A. Trends in D&O claims frequency and severityB. Major problem areas<ul style="list-style-type: none">1. Federal securities laws2. Mergers /acquisitions3. Pollution claims4. Financial institutions claims5. Third party claimsC. Recent large settlements and judgmentsII. Legal concepts underlying the D&O exposure<ul style="list-style-type: none">A. Basic legal duties of D&O<ul style="list-style-type: none">1. Duty of obedience2. Duty of loyalty3. Duty of careB. To whom duties are owedC. Common defensesD. Recent legislation limiting director liability |
| 20 minutes
9:45 – 10:05
Ken Smith
(Title & Company) | <ul style="list-style-type: none">III. Risk treatment devices<ul style="list-style-type: none">A. Amend articles of incorporation to limit liabilityB. Corporate indemnificationC. Loss ControlD. Directors' and Officers' liability insurance |
| 10 minutes
10:05 – 10:15 | VI. Summary Questions & Answers |

60 Minutes