SESSION AGENDA/OUTLINE

Session: D&O Liability Insurance
Day/Date: Tuesday, May 5, 2020
Time: 9:15 am – 10:15 am (60 minutes)

Your Session Description. Login to www.RIMS.org/SSC to find your session information.
This session will xxxxxxxxxxxxx

9:15-9:20 Introduction of Speakers

25 minutes
9:20 - 9:45
Sarah Princeton
(Title & Company)

I. Recent history of D & O liability exposure
   A. Trends in D&O claims frequency and severity
   B. Major problem areas
      1. Federal securities laws
      2. Mergers /acquisitions
      3. Pollution claims
      4. Financial institutions claims
      5. Third party claims
   C. Recent large settlements and judgments

II. Legal concepts underlying the D&O exposure
   A. Basic legal duties of D&O
      1. Duty of obedience
      2. Duty of loyalty
      3. Duty of care
   B. To whom duties are owed
   C. Common defenses
   D. Recent legislation limiting director liability

20 minutes
9:45 – 10:05
Ken Smith
(Title & Company)

III. Risk treatment devices
   A. Amend articles of incorporation to limit liability
   B. Corporate indemnification
   C. Loss Control
   D. Directors’ and Officers’ liability insurance

10 minutes
10:05 – 10:15 VI. Summary Questions & Answers

60 Minutes